

Application Date:  تاريخ الطلب:**Details of the Main User:**

Full Name:

First Name:

Last Name:

Title:

Land Line Number:

Mobile Number:

Fax Number:

Nationality:

Gender:  Male Female

User Name (Email):

Confirm Email:

Group Notification Email:

**Pledge Member Information**

Type of Pledge Member:

 Local Foreign Financial Institution Bank

If Bank, please select type:

 Islamic Bank Non Islamic Bank

Bank/Financial Institutions Name:

Address:

Street:

P.O. Box:

City:

Country:

Fax:

Phone:

Website:

**Commercial License Issued/Incorporation**

License Number:

Place of License:

Expiry Date of Trade License:

**Bank Account Information**

\*Pledge Member Bank Account Number:

Bank Account Number In IBAN Format:

(If Bank Account is with a UAE Commercial Bank)

Name of Bank:

SWIFT Code of Bank:

(If Bank Account is with an Overseas Bank)Sort Code (If Overseas Bank)

\*\*Payment Currency:

(If Non-UAE Bank Account)

Dubai CSD will levy a transaction charge for Bank transfers to a Foreign Bank Account outside the UAE. All dividend payments by Dubai CSD will be paid in AED currency. The investor's bank is responsible for the currency conversion.

**\*Bank Account Conditions:**

- A. UAE Bank cannot use their Bank Account with the UAE Central Bank for receiving Cash Dividends.  
B. A copy of the Bank Account Statement clearly showing Bank Account Number and Account Name details (balance details can be blanked out) must be submitted with the application for verification of Account Number and Account Name details.

## Required Documents

### 1. Local Banks Requirements:

- Authorised Signatories List.
- Copy of License issued by the Applicant's Regulator.

### 2. Local Financial Institutions Requirements:

- Copy of Trade License.
- Copy of Commercial License.
- Copy of Memorandum and Articles of Association.
- Authorised Signatories List.

### 3. Foreign Bank or Foreign Financial Institutions Requirements:

- Copy of the Power of Attorney.
- Authorised Signatories List.
- Copies of the latest passport for the Authorised Signatories under the Power of Attorney.
- Copy of Applicant's Certificate of Incorporation or equivalent documentation.
- Copy of Applicant's License.
- Copy of Applicant's Memorandum and Articles of Association.

### Additional documents for Foreign Financial Institutions Applicant:

- Copy of Trade License.
- Copy of applicant's Memorandum and Articles of Association showing evidence that the applicant is authorized to lend money.
- Copy of Approval by the Applicant's Regulator that the Applicant is Authorized to engage in the Business Activity of Lending.

### Certification of Supporting Documents:

- Copies of documents submitted to Dubai CSD must be certified true copies bearing the stamp and signature of a Notary Public or the issuing authority of the document.
- For more information, please refer to Dubai CSD Pledge Procedures.

## Acknowledgment

- We agree to be bound in all respects by and to comply with all the rules, procedures, guidelines and requirements as may be issued by Dubai CSD from time to time in relation to Pledge Members concerning the pledging of securities and shall comply with these rules, procedures and guidelines as may be issued from time to time concerning Pledge Members.
- We agree and undertake jointly and severally to assume the full legal and financial responsibility arising from the accuracy and completeness of the information which we are submitting to Dubai CSD for the purpose of satisfying the requirements of being registered as a Pledge Member and undertake to keep Dubai CSD updated of any change in information regarding our application as a Pledge member.

Full Name:

Authorized Signature:

Company Stamp: