

DFM Fees List

Member Affairs:	
1. Brokerage Company membership in DFM:	<ul style="list-style-type: none"> AED 150,000 for one-time charges
2. Renewal Brokerage Company membership in DFM:	<ul style="list-style-type: none"> AED 130,000 annually
3. X-Stream Trading System Users:	<ul style="list-style-type: none"> AED 25,000 for 6 Traders annually AED 3,000 for 1 extra trader user annually AED 1,000 for 1 Public user annually AED 5,000 for Internet Trading user annually AED 3,000 for FIX user annually AED 3,000 for MDF user annually AED 5,000 for DMA per user for each separate network connected on DMA service annually AED 5,000 for Mobile Trading user annually AED 5,000 for Other Service user annually AED 100 for Replacing any paid user on X-Stream system which was included within the renewal package. And will apply the renewal fees as stated above on this user at beginning of next year. Post renewal, AED 3,000 will be charged towards issuance for each <u>additional trader user</u> request which was not part of the package for the rest of the renewal term. And will apply the renewal fees as stated above on this user at beginning of next year. Post renewal, AED 1,000 will be charged towards issuance & user fees for each additional <u>public</u> user request which was not part of the package for the rest of the renewal term. And will apply the renewal fees as stated above on this user at beginning of next year. AED 50 for username reset password.
4. AS 400 System Users:	<ul style="list-style-type: none"> AED 25,000 for 6 users annually AED 3,000 for 1 extra user with Post/Approve access annually AED 1,000 for 1 extra user with Query/ Read access annually AED 100 for Replacing any paid user on AS400 system which was included within the renewal package. And will apply the renewal fees as stated above on this user at beginning of next year. Post renewal, AED 3,000 will be charged towards activation for each <u>additional user</u> with Post/Approve access which was not part of the package for the rest of the renewal term and will apply the renewal fees as stated above on this user at beginning of next year. Post renewal, AED 1,000 will be charged towards activation for each additional user with <u>Query/ Read</u> access which was not part of the package for the rest of the renewal term. And will apply the renewal fees as stated above on this user at beginning of next year. AED 50 for username reset password.
5. Brokerage Company Name Change Request:	<ul style="list-style-type: none"> AED 10,000 for each request
6. Review, or Re-Review and audit Company's system readiness (OMS) for trading due to system/Vendor Changes for the Company:	<ul style="list-style-type: none"> Issue Certificate AED 10,000 The first meeting for AED 1,000 Second and every additional demo meeting AED 1,500
7. Internet Trading membership Registration or Renewal for Brokerage Company:	<ul style="list-style-type: none"> AED 10,000
8. Mobile Trading membership Registration or Renewal for Brokerage Company:	<ul style="list-style-type: none"> AED 10,000
9. Margin Trading membership Registration or Renewal for Brokerage Company:	<ul style="list-style-type: none"> AED 30,000
10. DMA Service membership Registration or Renewal for Brokerage Company:	<ul style="list-style-type: none"> AED 20,000
11. Allocation Accounts membership Registration or Renewal for Brokerage Company:	<ul style="list-style-type: none"> AED 20,000
12. Registration or Renewal for Brokerage Company's trading on its own name/ benefit:	<ul style="list-style-type: none"> AED 20,000

13. Regulated Short Selling (RSS) membership Registration or Renewal for Brokerage Company:
▪ AED 30,000
14. Services Registration Termination letter:
▪ AED 1,000 per service
15. Temporary Workspace in DFM Premises for Broker:
▪ AED 500 per day
16. Accreditation Letter for Brokerage Company's Staff:
▪ AED 500 for each candidate
17. To-Whom-It-May-Concern Letter:
▪ AED 500 for each request
18. Requesting System's Guide and Rules & Regulation Booklet:
▪ AED 500 for each booklet
19. Issuing or Renewal of Representative ID Card for Brokerage Company:
▪ AED 250 for each request
20. Vendor Empanelment (ISV):
▪ AED 50,000 including support for one year
21. Vendor Renewal (ISV):
▪ AED 10,000 annually
22. Brokerage Company Clearance Letter (Branch Close inside or outside DFM/ or Cancellation):
▪ AED 1,000 for each request
23. Advertisement Approval Letter:
▪ AED 1,000 weekly for Banners each request
▪ AED1,000 Media Advertisement approval
24. Brokerage Company WAN Connectivity Confirmation Letter:
▪ AED 500 for each request
Trading Operation:
25. Re-Issue of any Lost Document:
▪ 500 AED minimum for 20 pages, and AED 10 for any extra page, maximum of AED 15,000
26. Brokerage Company Trade Log Report and/or other data reports:
▪ Daily/ Other customized non-identified period: 0.5 AED per row or AED 500, whichever is higher
▪ Monthly: AED 1,000 for one month records.
▪ Semi-annually: AED 3,000 for six months records.
▪ Yearly: AED 5,000 for one year records.
27. Activity Log Report Brokerage Company:
▪ Daily/ Other customized non-identified period AED 0.5 per row or AED 500, whichever is higher
▪ Monthly: AED 2,000 for one month records.
▪ Semi-annually: AED 6,000 for six months records.
▪ Yearly: AED 10,000 for one year records.
28. Brokerage Company's Trading Ranking/ Usernames Ranking Report:
▪ AED 500 for one report
29. Phone Calls Recording System (for companies located in DFM):
▪ AED 25,000 annually up to 6 users and AED 3,000 per extra user
▪ AED 100 for Replacing any paid user on X- Phone Calls Recording system which was included within the renewal package. And will apply the renewal fees as stated above on this user at beginning of next year.
▪ Post renewal, AED 3,000 will be charged towards issuance for each additional user request which was not part of the package for the rest of the renewal term. And will apply the renewal fees as stated above on this user at beginning of next year.
30. Phone Calls Recording Request (for companies located in DFM):
▪ AED 500 for first 5 days
▪ AED 50 for each extra day
▪ AED 200 for service fees in case no-records found
31. Test Environment for Brokerage Company's:
▪ AED 5,000 for 2 weeks (10 Business Days)
▪ AED 500 for each extra day

Information Technology Services:	
32. Connectivity to DFM Service:	<ul style="list-style-type: none"> AED 3,700 per annum for main site connectivity and AED 300 per annum for DR site connectivity
33. Equator APS/AQS Investor-clients Accounts Update Service:	<ul style="list-style-type: none"> One time setup charges of AED 5,000 Recurring annual charge of AED 20,000 for one integration method (APS or AQS) or AED 30,000 for both (APS & AQS).
34. IT Technical Support Service:	<ul style="list-style-type: none"> AED 100 for every hour support (fraction of an hour will be considered as one hour)
35. Internet access Service (for companies located in DFM):	<ul style="list-style-type: none"> AED 12,000 per annum
36. Access to ADX services through DFM network (for companies located in DFM):	<ul style="list-style-type: none"> AED 8,400 per annum
37. Network port (for companies located in DFM):	<ul style="list-style-type: none"> AED 3,000 per annum per port
38. Managed Connectivity to DFM Services:	<ul style="list-style-type: none"> AED 120,000 per annum for main, backup, and DR site connectivity mandatory for all companies outside DFM trading Hall and optional for companies in the Trading Hall Optional: AED 42,000 Extra DR site for members (members DR site to be connected to DFM main, Backup and DR)
39. Managed SDWAN Connectivity to DFM Services:	<ul style="list-style-type: none"> AED 40,000 per annum. Optional service for enabling remote connectivity to DFM Main and DR sites for companies located within UAE and internationally.
40. Co-Location Rack space – License with Cooling and Standard Security Service:	<ul style="list-style-type: none"> AED 42,000 per annum
41. Power Points (for companies located in DFM):	<ul style="list-style-type: none"> AED 6,000 per annum per power point

DFM initial Fees		
Type	Classification	Fees AED
Joint Stock Companies	Listing fees of a company with a paid up capital of AED 500 million or less	18,000
	Listing fees of a company with a paid up capital of more than AED 500 million up to AED 2 billion	30,000
	Listing fees of a company with a paid up capital exceeding AED 2 billion	60,000
Bonds & Sukuk	New listing fees for Bonds and Sukuk	5,000
XBRL	Registration fees in XBRL system	10,000
EFSAH	Workshop fees (50% refundable in case of cancelation)	1,000
	Registration fees	2,000
	User fees per person (mandatory to register 2 users within the company)	200
	User Cancelation fees per person	50
	User Password Reset fees per person	20

DFM Annual Fees		
Type	Classification	Fees AED
Public Joint Stock Companies	Annual fees of a company with a paid up capital of AED 500 million or less	21,000
	Annual fees of a company with a paid up capital of more than AED 500 million up to AED 2 billion	35,000
	Annual fees of a company with a paid up capital exceeding AED 2 billion	70,000
Bonds & Sukuk	Annual listing fees for Bonds and Sukuk	3,000
Funds	Annual listing fees	3,000
XBRL	Annual fees of XBRL system	10,000
EFSAH	Annual Fees	2,000
	User fees user person (mandatory to register 2 users within the company)	200